Instructions for today’s webinar

- **Technical issues** - maria.sureda@wrforum.org

- **Open Draft 3 of the Guidance Principles.**

- **Recording** – This call is being recorded and available on request.

- **Summary report of call** to be circulated in October.

- **Questions** – The floor will be open for questions and comments after each topic; please request the floor by using the Chat box. Any additional questions/comments addressed after the call in writing.
Guidance Principles for the Sustainable Management of Secondary Metals
Draft Version 3

ISO IWA Session #4
Webinar
15 September 2016
Main objectives for call

Determine if there is no sustained or major objections on the Principles and Objectives (including Introduction and Terms and Definitions) and...

...collect suggestions on the Section 4 (Assurance and Traceability)

...in order to move towards publishing and launching Version 1.0 of the Guidance Principles!
Agenda

- Summary of ISO IWA Session 3 (Gland – July 2016)
- Update on timeline
- Summary of comments received on Draft 2
- Presentation of Draft 3
- Discussion - Open Issues
- Next steps and wrap-up
Meeting Report ISO IWA Session #3

- 7-8 July 2016 at IUCN Gland, Switzerland.
- 30 participants
- Aim: collect and discuss feedback to feed into Draft 3 of the Guidance Principles.
- Main discussions included: 'Worst Practices', 'Child Labour', the 'Stepwise Approach towards Formalisation' and 'Most significant environmental issues'.
- Available on the SRI website.
Guidance Principles - Timeline

Proposed text
4 Sept 2015

Draft 0
Section 1
28 Sept 2015

Draft 0
Section 2
23 Dec 2015

Draft 1
Section 1
15 Jan 2015

Draft 1
Section 2
31 Jan 2015

Draft 1
31 Jan 2016

Draft 2
1 Jul 2016

Draft 3
6 Sept 2016

Version 1.0
Nov 2016

ISO IWA Session #1
15 to 16 Oct (Davos, Switzerland)

Public Review 1
1 Feb to 31 Mar

Local consultation in Lima, Peru
9 to 11 Feb

Webinar ISO IWA 19 members
10 Feb

Local consultation in Nairobi, Kenya
27 Feb

Webinar for General Public
10 Mar

ISO IWA Session #2
12 Apr (Teleconference)

Local consultation in Bangalore, India
28 June

Public Review 2
1 to 31 Jul

ISO IWA Session #3
7 to 8 Jul (Gland, Switzerland)

Local consultation in Delhi, India
28 Jul to 18 Aug

ISO IWA Session #4
15 Sept (Teleconference)

WE ARE HERE
Comments received on Draft 2

More than 250 comments from 15 different stakeholder groups. Main comments on:
- Terms and definitions
- Worst Practices
- Formalization challenges
- Supporting mechanisms

18 main action points agreed among the 30 participants on:
- Terms and definitions
- Principles & Objectives
- Implementation
- Roles and responsibilities
- Traceability
- Annex on Worst Practices
- Theory of Change
- Governance

Public Review
(1 – 31 July 2016)

ISO IWA Session #3
(7-8 July 2016)
Stakeholder consultations in India

Bangalore, June

New Delhi, August
Draft 3 of the Guidance Principles

Main changes:
- Introduction & Scope
- Terms & definitions
- Child labour & children’s participation in work
- Suggested Steps and Time Frame towards Compliance
- Roles of OBA in support of SA
- Assurance system
- Annex A: Worst Practices
- Annex C: Monitoring and Evaluation (M&E) plan
Open issues to be discussed

<table>
<thead>
<tr>
<th>Category</th>
<th>Issues</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principle 1</td>
<td>• WTO issue (Freedom of Association)</td>
</tr>
<tr>
<td>Principle 1</td>
<td>• Legal contradiction (child labour)</td>
</tr>
<tr>
<td>General</td>
<td>• Suggested steps and differentiation between SA, UBA and OBA</td>
</tr>
<tr>
<td>Assurance/CoC</td>
<td>• Recommendations vs requirements</td>
</tr>
<tr>
<td>Scope of Annexes</td>
<td>• Worst Practices and M&amp;E Plan</td>
</tr>
</tbody>
</table>
A. WTO Related issues

- Concerns around possible WTO issues regarding child labour.

- Many voluntary standards prohibit child labour, based on a multi-stakeholder consensus and endorsement by multinationals.

- TBT agreement and GATT (Article XX) mention “legitimate objectives, which include the protection of human health and safety”.

- As a result, the Secretariat suggests keeping the prohibition of child labour as a key objective
B. Legal Compliance issues

- Concerns around possible contradictions with law regarding freedom of association.
- Introduction of the Guidance Principles is very clear that in the case of contradiction with law, the law always prevails.
- In case of unions or workers association are prohibited in the country of operations, Economic Operators must find legally-compatible negotiation alternatives.

- As a result, the Secretariat suggests keeping Freedom of Association in Principle 1, in line with the above conditions.
C. Suggested Steps and Time Frame

- Suggested steps and time frame allow differentiating between SA, UBA and OBA
- Key question: should certain objectives or requirements be **completely waived** VS should **ALL** objectives/requirements apply to **ALL** Economic Operators (with support from OBA)?
D. Assurance/CoC

- Concerns exist that Section 4 (Assurance / Chain of Custody) is too prescriptive. The introduction is clear that these are recommendations; alternative systems can be applied.

- Concerns exist over the capacity of international recycling companies to conduct due diligence beyond their suppliers up to waste collection.

- The Secretariat aims to use the pilot testing (2017) to revise Section 4 (a revisión is required according to ISO within the next 3 years after publication)

- Comments?
E. Scope of Annexes

- Worst Practices (Annex A with a revised text which was considered as most useful by ASI) and M&E (Annex C with an example on an M&E plan)
- Annex A is a summary of the Fact Sheets which will become a stand alone document
- Annex C to be further revised
- Comments?
Wrap-up
Next Steps (Part 1)

- ISO IWA members to share any sustained opposition of major issue with the Secretariat (i.e. until 30 September 2016). Minor issues may be shared as well.

- Secretariat to consider comments & suggestions on Version 1.0 of the Guidance Principles (November 2016)

- ISO IWA members to confirm their agreement on listing their be in the Contributors list

- Report of this call to be circulated October 2016
Next Steps (Part 2)

- Submission to ISO in Dec 2016
- Launch at the Conference of the Parties of the Basel, Stockholm and Rotterdam Conventions, Geneva, April, 2017
- Revision within the next 3 years
www.sustainable-recycling.org
Thank you!

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