- Theory of Change -
Guidance Principles for the Sustainable Management of Secondary Metals

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“A Theory of Change is essentially a comprehensive description and illustration of how and why a desired change is expected to happen in a particular context.”

www.theoryofchange.org
Objectives of the Theory of Change

- **Articulate** the intended outcomes and desired impacts from the implementation of the GPs
- **Define** the strategy and mechanisms to achieve them
- **Support** monitoring & evaluation activities
- **Inform** about vision, goals and how the activities/interventions produce the intended effects
Desired impacts of the Guidance Principles

- Poor health and working conditions
- Local communities are negatively impacted by secondary metal operations
- Negative environmental impacts
- The use of secondary metals produced in a sustainable way is limited

Implementation of the Guidance Principles

- Workers have improved health and working conditions
- Local communities are more resilient and have improved relationships
- Environmental conditions have improved
- The use of secondary metals produced in a sustainable way has increased by x% per year
From Activities and Supporting Mechanisms to Expected Outcomes to Desired Impacts (Example)

**Activities**
- Economic operators engage in the process of compliance with the GPs
- OBA supports SA and UBA with the compliance process
- Governments put incentives in place to reward compliant economic operators
- NGOs communicate positively about compliant economic operators

**Supporting Mechanisms**
- Appropriate equipment for workers and safe and healthy work places available
- Working terms and conditions for workers are in place

**Expected outcomes**
- Workers have improved health and working conditions

**Desired impacts**
Plenary Discussion

- Which impacts should the Guidance Principles prioritise in the short, medium and long term?
- What are the activities to achieve such impacts?
- Which indicators can be used to measure impact?
www.sustainable-recycling.org
Thank you !