Instructions

- **Technical issues** - ruweyda.stillhart@wrforum.org

- Open **Draft 1 of the Guidance Principles**.

- **Recording** – This call is being recorded and available on request.

- **Summary report of call** to be circulated in April.

- **Questions** - The floor will be open for questions and comments after each topic; please request the floor by using the **Chat box**. Pending questions/comments addressed after the call in writing.
Guidance Principles for the Sustainable Management of Secondary Metals

Draft 1

ISO IWA Session #2
Webinar
April 12, 2016
Objectives for today’s call

- Provide you with an update of the results of the **Public Review** and **Local Consultations** on the Draft 1 of the Guidance Principles.

- Obtain your input for the **Comments Resolution Meeting** as the basis for revision of the Draft 1 of the Guidance Principles.

- Provide the space and time for discussion on open issues.
Guidance Principles - Timeline

Proposed text
- 4 Sept 2015

Draft 0
- Section 1
  - 28 Sept 2015

Draft 0
- Section 2
  - 23 Dec 2015

Draft 1
- Section 1
  - 15 Jan 2015

Draft 1
- Section 2
  - 31 Jan 2015

Draft 1
- 31 Jan 2016

Draft 2
- June 2016

Draft Version 1.0
- Sept 2016

Version 1.0
- Nov 2016

IWA Session #1
- 15 to 16 Oct 2015 (Davos, Switzerland)

Webinar for ISO IWA 19 members
- 10 Feb 2016

Public Review 1
- 1 Feb to 31 Mar 2016

Local consultations in Peru & Kenya
- 9 to 11 & 27 Feb 2016

Webinar for General Public
- 10 Mar 2016

IWA Session #2
- 12 Apr 2016 (Teleconference)

Public Review 2
- June 2016

IWA Session #3
- 7 to 8 July 2016 (Gland, Switzerland)

Local consultations in Egypt
- July 2016

IWA Session #4
- Sept 2016 (Teleconference)

WE ARE HERE
Meeting Report ISO IWA Session #1

- 14-16 October 2015 in Davos, Switzerland.
- About 60 participants (including ISO members, metals associations, recycling companies, Cleaner Production Centre's, international NGOs and experts from research organisations and the academia).
- Available on the SRI website.
WD IWA 19

Guidance principles for addressing sustainability criteria in secondary resources management - Focus on metals

General information

- Edition: 1
- Status: Under development
- TC/SC: ISO/TMBG
- Target publication date: 2017-01-31
Validity of the Guidance Principles
Agenda

- Where are we in the process?
- Summary of comments received on Draft 1
- Discussion of comments
- Closing remarks
- Next steps
Terms and definitions

Section 1

Principles & Objectives

5 Guidance Principles and 17 associated objectives.

Section 2

Assurance & Traceability

Recommendations for compliance, assurance and traceability to support the implementation of the Guidance Principles.

Governance
Where are we in the process?

Draft 1 (January 2016)

Public Review (2 months) → Local consultations (Peru & Kenya) → Webinars (IWA members & Public)

ISO IWA Session #2

Comments Resolution Meeting

Draft 2 (June 2016)
Sources of comments received

**Public Review**
(1 Feb – 31 March 2016)

**Local Consultation in Kenya**
(27 Feb 2016)

**Local Consultation in Peru**
(9-11 Feb 2016)

**Webinars**

**Above 30 individuals including:**
- Civil society (Egypt, Switzerland, India, South Africa, Europe)
- Academia (Brazil)
- Research (Chile, Switzerland)
- Recyclers (Austria, Colombia, USA, Global)
- MoE (Vietnam)
- IGO (Europe, Global)
- Standardization initiatives (Global & European levels)

**About 100 stakeholders:**
Informal and formal recyclers and local government representatives from Peru.

**About 25 stakeholders:**
Formal recyclers and government representatives (Kenya, Botswana, Rwanda, Burundi, Tanzania, Nigeria, Cote D'Ivoire, Egypt, Ethiopia, Ghana, Togo, Zambia)

**ISO IWA 19 members**
(10 February 2016), **General Public**
(10 March 2016)
Groups of comments

A. Scope & Stakeholders
- Principle 1: Working environment
- Principle 4: Metal recovery
- Supporting mechanisms
- GPs too focused on e-waste and metal extraction?

B. Terms & Definitions
- “Producer” vs “Manufacturer”?
- “Chain of custody”
- “Subsistence activities”
- “Treatment”
- “EPR” vs “extended producer and buyer responsibility”

C. Sustainability (Section 1)
- Formalization challenges
- Exports – Who? What?
- Stepwise approach
- Clarify EPR
- Other standards (align?)

D. Implementation (Section 2)
- Length and language
- Clarify roles (e.g. governments)
- Supporting mechanisms
- “Shall” vs “should”?
Discussion of Comments
A. Scope & Stakeholders

- Revise length and language to be appropriate for the target audiences. Source: Public review (recyclers & independent advisors)

- Involvement of informal workers in collection process to be emphasized in Section 2. Sources: Public review (civil society) & local consultation in Kenya

- Clarify role of Governments and local authorities vs producers (e.g. in supporting mechanisms). Sources: Local consultation in Kenya & public review (civil society)

- Clarify roles and actions of various stakeholders (Fig 4) + benefits, e.g. collectors, exporters/importers, children, schools, refurbishers, householders, aggregators, waste traders, customs, waste storage places and retailers. Source: Public review (standardisation initiative & recyclers & independent advisor) & local consultation in Kenya
A. Scope & Stakeholders (cont’d)

- “Shall” vs “Should”? How to deal with critical areas (e.g. child labor, corruption and worst practices). Source: Public review (independent advisor) & ISO IWA Secretariat

- Clarify connections between Suggested steps (Section 1) and Implementation mechanisms (Section 2). Source: ISO IWA Secretariat
B. Terms & Definitions

To be clarified/improved:

- “Producer” vs “Manufacturer”. *Source: Public review (civil society)*
- “Chain-of-custody”. *Source: Local consultation in Kenya*
- “Subsistence activities” and “Unofficial business activities” in formal context? *Source: Local consultation in Kenya*
- “Recycling process” vs “Recycling chain”. *Source: Public review (recycler)*
- “Pre-treatment” vs “treatment”. *Source: Public review (independent advisor)*
C. Sustainability (Section 1)

- Text of the GPs (e.g. Principle 3) too focused on extraction of natural resources and primary metals? Source: Public review (independent advisor)

- Bias towards e-waste especially in the context of the informal sector? Source: Local consultation in Kenya

- Add supporting mechanisms such as the creation of a health insurance system for workers and the provision of legal advice as a mechanism to support formalization. Sources: Public review (independent advisor) & local consultation in Peru

- Risk assessment should be more prominent. Source: Public review (independent advisor)
C. Sustainability (Section 1 – cont’d)

- Stepwise approach towards elimination of child labour and bribery to be made more gradual. *Source: Local consultation in Kenya*

- Gender perspective to be further emphasized (e.g. health issues). *Source: Local consultation in Kenya*

- Collective bargaining – expand to all (incl. UBAs). *Source: Public review (recyclers)*

- Add an objective (4.2) to promote secondary metal over primary metals. *Source: Local consultation in Kenya*
D. Implementation (Section 2)

- What is the appropriate timeline for formalization and needed support from government? *Source: Local consultation in Peru*

- Additional guidance needed for countries with no proper waste legislation or poor enforcement. *Source: Local consultation in Kenya*

- Link Objective 1.2 (Decent working conditions) to Objective 5.4 (Formalization) – incentive for collectors to work in associations or cooperatives. *Sources: Public review (independent advisor) & ISO IWA Secretariat*
D. Implementation (Section 2 – cont’d)

- Exporting waste that contains metal: who is responsible for what? Source: Public review (recyclers & researchers)

- Improve guidance: how to handle exporting of waste that contains metals and who arranges for material, products and/or waste to be exported. Source: Public review (recycler & researchers)

- Clarify linkages with the Basel Convention. Source: Public review (researcher)

- Guidance on how to deal with hazardous waste is missing. Source: Public review (IGO)
D. Implementation (Section 2 – cont’d)

- Stepwise approach: Additional guidance on levels and simplification of verification and assurance. *Sources: Local consultation in Peru & public review (standardisation initiative)*

- Alignment with other standards: Draft 1 does not contain any contradiction with the ASI (Aluminium Stewardship Initiative) standard on Performance. *Source: Public review (standardisation initiative)*

- There is no contradiction with the EN 50625 series and it was called for more synergies also with e.g. with EN 50574 and TS 50625-5. *Source: Public review (IGO & independent advisor)*
Extended producer responsibility (EPR):

- **EPR applies to product manufacturers (incl. OEM) – Should importers be included? Source: Public review (recyclers)**

- Clarify how EPR approach can support principles 4 and 5. Source: Local consultation in Kenya

- Contractual agreements (5.3.1.5) not always possible in waste treatment practices. Possibility to simply hand over waste to an authorized waste treatment facility is sufficient. Source: Public review (recyclers)
D. Implementation (Section 2 – cont’d)

Chain of custody:

- Clarify who appoints the CoC Manager (within SRI or at the level of economic operator). *Source: Local consultation in Kenya*

Assurance

- Clarify that 3rd party audits prevail over 2nd party audits to avoid conflicts of interest. *Source: Public review (independent advisor)*

- Clarify role of 2nd and 3rd party audits in the context of EPR. *Source: Local consultation in Kenya*
D. Implementation (Section 2 – cont’d)

Supporting mechanisms:

- **Clarify Supporting mechanisms (Section 1) vs Implementation (Section 2).** *Source: ISO IWA Secretariat*

- More guidance needed on how the implementation of the Guidance Principles should be initiated. *Source: Public review (government & researchers)*

- Open information platform. *Source: Local consultation in Kenya*
D. Implementation (Section 2 – cont’d)

Supporting mechanisms:

- Provide a list of existing national legal frameworks. *Source: Local consultations in Kenya*

- Emphasize the role of private sector to compensate the lack of local Government support. *Source: ISO IWA Secretariat*

- *More support for OBAs. Source: Public review (recyclers)*
Wrap-up of discussions
Closing remarks
Next steps

- Comments will be considered on the “Draft 2” of the GPs (early June 2016).

- Log of all comments received (+ resolution by ISO IWA Secretariat) to be circulated.

- Working Group on worst & alternative practices

- Workshop Guidance Principles @WRF in Costa Rica (17-20 May 2016)

- India (June) and Egypt (July) consultations

- ISO IWA Session #3, 7-8 July 2016, IUCN venue, Gland, Switzerland.
Turning waste into resources for development
Thank you!